# DEPARTMENT OF PUBLIC HEALTH AND HUMAN SERVICES



BRIAN SWEITZER GOVERNOR

JOAN MILES, J.D. DIRECTOR

# STATE OF MONTANA

www.dphhs.state.mt.us

Marcia Dias, CFSD PO Box 8005 HELENA, MT 59604-8005

August 29, 2007

TO: All Potential Applicants

FR: Montana Department of Public Health and Human Services

Child and Family Services Division

RE: IN-HOME Service Program - REQUEST FOR PROPOSALS

### **REQUEST FOR PROPOSALS**

The Department of Public Health and Human Services, Child and Family Services Division, announces the availability of funds to serve Dillon and surrounding areas:

<u>Service Delivery Region II</u>, specifically for the following counties: Glacier, Pondera. Toole, and Teton Counties. Approximately **\$62**, **395** is available to providers of In-Home Services within these areas during the period of October 7, 2007 – June 30, 2008.

- OFFICE LOCATION IS OPEN BUT MUST BE IN ONE OF THESE FOUR COUNTIES.
- TO SERVE OFF-RESERVATION POPULATIONS

# THE APPROVED GRANT AWARD IS DEPENDANT UPON FINAL APPROVAL OF STATE AND FEDERAL FUNDING LEVELS.

Request for Proposal specifics/instructions are listed on the Department of Public Health and Human Services website, <a href="http://www.discoveringmontana.com/doa/gsd/osbs/">http://www.discoveringmontana.com/doa/gsd/osbs/</a>

All applications must be postmarked or hand delivered no later than 5:00 p.m. Friday, September 21, 2007. Please send one original and five copies of the proposal.

Applicants will be notified in writing on or around **September 30**, **2007** of the approval or denial of their proposal.

The staring date for the SFY08 In-Home Services Program is estimated to be on or October 7, 2007 or shortly thereafter and continues through June 30, 2008. Funding must be expended by June 30, 2008.

### **TABLE OF CONTENTS**

### **Information for Applicants**

Purpose of Request for Proposal

**Potential Applicants** 

Background

Scope

Schedule of Events

**Submission Instructions** 

### **Contents of Grant Proposals and Format**

Title Page

Table of Contents

Introduction

**Contractor Qualifications** 

- o The Organization
- o The Staff

The Project and How Families are Served

- Its Goals and Objectives
- o How a Case is Served and what is involved
- o The Overall Program
- Important Characteristics

Budget

Attachments

### **Selection Process**

Overview

**Questions and Answers and Clarifications** 

Selection Committee

Negotiations and Oral presentations

Availability of Proposals

### **Evaluation Process**

Criteria and Scoring of proposals

### **Contract Award**

**Process** 

Expectations

Reporting Requirements

Subcontracting

Workers Compensation and Other Insurance Requirements

### **Additional Information Attached**

Child and Family Services Policy

Referral Form

Official Scope of In-Home Services

# **SCHEDULE OF EVENTS**

EVENT

RFP Issue Date

August 29, 2007

Deadline for Receipt of Written Question

September 5, 2007

Deadline for Responses to Written Questions

September 8, 2007

RFP Proposal Due

September 21, 2007

Intended Date for Contract Award

October 1, 2007

Intended Date for Contract Implementation

October 7, 2007

### INFORMATION FOR APPLICANTS

### **PURPOSE OF REQUEST FOR PROPOSAL**

The Child and Family Services Division of the Montana Department of Public Health and Human Services issues RFPs for the purpose of identifying and funding qualified service providers. The Division encourages free and open competition among providers such that it can obtain the highest quality and most cost-effective human services available. Awards are based upon pre-defined criteria. This RFP seeks providers that will help prevent child abuse and neglect through the provision of In-Home Services.

### POTENTIAL APPLICANTS

Local private, non-profit or public agencies are eligible to apply under this RFP. Individual professionals are also eligible to apply. Special consideration will be given to community-based programs providing an array of In-Home Services, such as: Targeted Case Management, Parent Skill Building, Family Behavior Skill Building, Organizational Skills, Supervised Visitation, Family Group Decision Making, Transportation, Respite Care, Therapeutic Counseling and others.

In keeping with best practices for home visiting Child and Family Services is interested in providers that demonstrate adequate and appropriate training as well as adequate and effective supervision. Preference will also be given to organizations whose direct service staff has had Home Specialist Training through the National Resource Council and organizations having effective staffing patterns and adequate supervision of staff.

Successful applicants are required to establish a procedure of meeting with their local CFSD office at least monthly to staff cases and communicate availability of service slots; i.e., supply and demand for services in their area. It is the responsibility of the provider to record frequency of family contact, type of contact, total hours provided for each family, hours. It is the responsibility of the provider to record frequency of family contact, types of contact, total hours provided for each family, hours unexpended with respect to individual families, and additional hours needed beyond requested intervention as originally specified in the Referral Form ( CFSD Form -050) and mutually agreed upon in The Family Service Plan.

# BACKGROUND

The Adoption and Safe Families Act of 1997 establishes that the federal goals for children in the child welfare system are safety, permanency, and well-being. It was enacted following President Clinton's Executive Memorandum on Adoption and was the first major child welfare reform legislation since 1980. This Act was updated in 2006. The Act was designed to assist states and tribes in balancing family preservation and reunification with the health and safety of a child. This Act clarifies that the safety of children is the paramount concern underlying all child welfare services.

The Adoption and Safe Families Act affects the provision of services to families with children living in the home, as well as to families with children living out of the home.

Grant awards to states for this purpose are funded under provisions of Title IV-B, Subpart 2, of the Social Security Act. These funds are expended for Promoting Safe and Stable Families program initiatives to promote family strength and stability, enhance parental functioning and protect children.

The major requirements of the Adoption and Safe Families Act have been codified into Montana Code Annotated.

### **Scope**

In keeping with the **Adoption and Safe Families Act**, In-Home Services are provided to insure a child's safety within a family. The services provided to a family seek to ameliorate conditions that may lead to a removal of a child from his/her home due to abuse or neglect. In-Home Services are also used to improve the safety concerns in a family whose children have already been removed so that the children may safely be reunited.

The Adoption and Safe Families Act mandates that states, while maintaining the safety of children, make concerted efforts to prevent removal of children from their homes and to reunify families in which efforts to prevent removal failed and the children were placed in out-of-home care. The focus of Montana's In-Home Service is to divert children from entering the foster care system and reduce the duration of stay in foster care, as well as to reunify families

Time-limited reunification services are especially important within the range of In-Home Services.

The Department is requesting proposals to fund In-Home Services in this region of the state... The contractor will be a partner and a resource to the State in meeting "reasonable efforts" requirements, as defined by the Federal Government.

### **GUIDING PRINCIPLES**

- 1) The basic relationship between programs and families must be one of respect. A project's first priority is to establish and maintain this relationship as the vehicle through which growth and change can occur.
- 2) Families have strengths and can change. Many maltreating families have capacities to change their abusive/neglectful behavior, given sufficient help and resources to do so.
- 3) Growing up in a family is optimal for children, as long as children's safety can be assured. Maintaining the family as a unit preserves bonding and a loving relationship with parents and siblings. Children can grow and develop within the culture and environment most familiar to them.
- 4) The most successful treatment plans are family-driven, responding to the family's stated needs rather than a categorical definition of services.
- 5) Programs are community-based, culturally and socially relevant to families and often a bridge between families and other services outside the scope of the program.

- 6) Home visiting, parenting education, other parent skill-building information about human development, and supervised visitation are essential elements of In-Home programs. Supervised visitation is crucial for reunification purposes; the longer a parent and child are physically separated, the less likely it is that reunification will occur.
- 7) Expectations for family support and education programs must be kept modest and in keeping with the project directive. These supportive services are not a substitute for essential services, i.e. affordable housing, health care, childcare, employment, etc.
- 8) Most parents do not intend to harm their children. Abuse and neglect are the result of a combination of factors: psychological, social, situational, and societal. All families may need assistance at some point to manage difficult stresses, to learn more appropriate parenting skills, and to be supported in their parenting roles.
- 9) Child maltreatment is a community problem; no single agency, individual, or discipline has the necessary knowledge, skills, resources, or societal mandate to provide the assistance needed by abused and neglected children and their families. The success of In-Home services depends on the community's ability to work in a multi-disciplinary, collaborative approach.
- 10) Local program staff need time, skill, and support to build relationships with families and children, emphasizing trust, respect, and empowerment. For programs to attend adequately to the varied support and guidance needs of families, it is critical that staff has solid organizational and financial foundations and technical assistance

#### GOALS OF IN-HOME SERVICES

- To decrease the incidence of child abuse and neglect of referred families.
- To increase the capacities of at-risk families to nurture their children in healthy environments by providing parents with the knowledge, skills, and support to do so.
- To decrease the length of time the child remains in foster care.

### **Anticipated Outcomes**

- Reasonable efforts will be successful to prevent removal from their family of origin.
- Reasonable efforts will be successful to reunify a child from the family from which it was removed.
- Improved outcomes for permanency.
- Enhanced parent/child bonding, emotional ties, and communication.

- Increased parental skills in coping with stresses of infant and childcare.
- Improved family self-sufficiency.

### Populations to be Served

- Families at risk of child abuse and neglect referred by the Department of Public Health and Human Services (DPHHS) but who are not an open Child Protective Services (CPS) case.
- Families at risk of child abuse and neglect that have recently been referred to DPHHS whose children have not been removed but have an open CPS case.
- Families who have had their children removed by DPHHS and are working a treatment plan for reunification.
- The number of clients served will be limited by the size of the budget and the intensity of the services required to meet the needs of the client.
- In-Home services will be provided upon CPS referral/approval only.

### **SUBMISSION INSTRUCTIONS**

# PROPOSALS MUST BE POSTMARKED OR HAND-DELIVERED NO LATER THAN SEPTEMBER 21, 2007.

PROPOSALS MUST INCLUDE A STATEMENT INDICATING THE DATE OF THE RFP REQUEST AND ANY SUBSEQUENT ADDENDA. LATE PROPOSALS, REGARDLESS OF CAUSE, WILL NOT BE ACCEPTED AND THEREFORE DISQUALIFED FROM FURTHER CONSIDERATION.

PLEASE MAIL OR DELIVER PROPOSALS TO THE DEPARTMENT'S REPRESENTATIVE:

MARCIA DIAS, PROGRAM OFFICER
DEPARTMENT OF PUBLIC HEALTH AND HUMAN SERVICES
CHILD AND FAMILY SERVICES DIVISION
1400 BROADWAY, P.O. BOX 8005
HELENA, MT 59604-8005

Please send one original and five copies of the proposal to the Department. Proposals submitted will be considered complete; references to information not located within the proposal will not be accepted. Prospective bidders are to organize their proposals into the format outlined in this RFP. Proposals not abiding by this format or failing to comply with these instructions may be disqualified. Prospective

bidders may submit multiple proposals, in which case each proposal will be evaluated separately. Bidders must use the RFP Budget Forms that are attached.

Costs incurred in developing, preparing and delivering this RFP are entirely the responsibility of bidding parties. The Department is not responsible for any such expenses incurred. All materials submitted in response to this RFP become the State's property.

Prospective bidders should carefully review the instructions, mandatory requirements, specifications, terms and conditions set out in this RFP and promptly notify the coordinator in writing of any problems encountered upon examination of this RFP. Bidders with questions or needing clarification must submit these questions in writing by postal mail on or before September 5, 2007. The Department will provide written responses to any submitted questions by September 8, 2007. Proposals must be signed in ink by the person with legal authority to bind the agency to a contract.

### **CONTENTS OF GRANT PROPOSALS AND FORMAT**

Responses to the following items comprise the proposal. Excluding the Cover Letter, Table of Contents, and Required Attachments, the body of this proposal (items: 4-7 below) should not exceed 20 pages.

- 1) Cover Letter. This is a one-page letter to be submitted on the letterhead of the organization. The Executive Director of the organization signs it. It must include: the grant amount requested. A brief overview of the organization's experience, its goals and objectives and the project design utilized to achieve this. The letter shall include the name(s), addresses(s), and telephone number(s) and e-mail address(s) of the program coordinator if this person is different from the Executive Director.
- <u>2) Title Page</u>. This page shall include the following a statement that this proposal is being submitted to the Department of Public Health and Human Services/ Child and Family Services Division and the date of the proposal. Next, it shall state the name of the proposal or program submitted and the organization or individual submitting it. Also, Include the organization's EIN number, or contractor's SSN. Then state the beginning and ending dates of the program and the total amount of funds being requested.
- <u>3) Table of Contents.</u> The Table of Contents identifies the major sections of the proposal. It lists all titles of major sections and subsections along with their beginning page numbers. It should be prepared in outline form conveying a sense of coherence, unity, clarity, and logical flow.

### 4) Overview.

In this section the bidder should provide an overview of the project and the needs to be addressed. It should describe the project's goals and objectives and the program's design and its associated activities. Concisely stated it shows what is being proposed and why. It outlines what is to follow in the proposal. One-page Introductions are adequate.

# 5) Contractor's Qualifications.

Organization/Agency. Please include statements that detail: (1) type of organization or business, and when the organization began, and documents showing incorporation or non-profit status (2) the organization's mission/ goals, and how its services and philosophy have evolved such that provision of In-Home services is appropriate (3) The organization's experience with Child and Family Services related to child abuse prevention and its suitability for providing In-Home Services, (4) fiscal evidence of stability, fiscal controls, audits, and program accountability.(5) collaboration and affiliation with other organizations, particularly the local Department of Child and Family Services offices; how the project will collaborate with Child and Family Services, frequency of set meetings for staffing cases and other types of communication. (6) Description of the organization's board of directors or advisory council, their qualifications and strengths, if applicable. (7) Evidence of credibility, professional accreditations, awards, letters of support particularly from local CFSD office (10) How do you insure that staff are trained to be mandatory reporters and that mandatory reporting occurs when required. (11) How do you or will you provide training pertinent to Home Visiting, particularly training provided by the National Resource Center, (12) How will you insure that staff is trained in CFSD Policy.(12) In detail, describe what skills, knowledge and experience your organization and/or staff possesses that uniquely qualify it

to provide in-home services. Also, describe your agency's specialty with respect to In-Home Services delivery. **Required Attachments**: List of Board of Directors, IRS 501-C-(3), Latest IRS 990 form, if applicable.

**Staff.** Please provide staff-related information for this project. (1) Include education and work experience for each person funded under this proposal. (2)Include job descriptions and corresponding resumes. (3) Detail the administrative structure and the direct service structure within the organization (4). For each direct-service position funded by this proposal, indicate the immediate supervisor overseeing staff performance and CFSD reports. Include an organizational chart with these positions. (5) Describe staff/client ratio for direct service provision. Also, provide supervisory/staff ratios if applicable. (6) Describe the frequency and type of interaction between supervisor and staff regarding cases Please describe the frequency and amount of time staffing cases in-house and staffing cases with the Child and Family Services office.

(7) Describe how you will insure that approximately 75% of a home-visitor's time will be to provide direct, collateral, or travel/transportation to a family or involving the family, with the remaining, 25%, devoted to administrative tasks and paperwork? Also, describe how you will insure that of that 75% of time (Billable Hours: Direct, Collateral, or Travel/Transportation) that collateral time will comprise no more than 10-15% of a visitor's time.

**Required Attachments.** resumes and job descriptions for positions funded by or participating in this project; copies of Home Specialist Certifications for direct service staff and supervisors.

Providers must also complete C-3, which contains an agency's Organizational Budget. This is the budget that includes total estimated expenditures for the organization. And C-4, Other Sources of Funding, lists all sources of revenue for an organization, if applicable. Please include the estimated budget for this project within tables C-3 and C-4.

The contractor must adhere to all contract terms and agree to comply with all state and federal laws and regulations, including confidentiality and HIPPA requirements, audit requirements, record retention and publications....

# 6) THE PROJECT AND HOW FAMILIES ARE SERVED

### **Goals and Objectives**

THE THREE PRIMARY GOALS OF THIS RFP HAVE BEEN ESTABLISHED. AS FOLLOWS:

- (1) decreasing the incidence of child abuse and neglect of referred families.
- (2) Increasing the capacities of at-risk families to nurture their children in healthy environments by providing parents with the knowledge, skills, and support to do so.
- (3) Decreasing the length of time the child remains in foster care.

### How a Family is Served

In keeping with these goals and objectives, please describe a typical case, referred to you by Child and Families Services Division and provide the step-by-step process you would use to serve the family. Be detailed and include the following: intake activity, eligibility criteria and referral/acceptance procedures

for project services, assessments, documentation, communication, reporting, and In-Home Service tools. Describe how your organization formulates a Family Service Plan and who the participants will be? Explain how you plan to integrate treatment and case plans into a service delivery model. Also, describe the process of monitoring and evaluating the progress of this case. How do you intend to identify key barriers and then set priorities for removing these barriers? Describe how you might implement modification of a Family Service Plan and possible court involvement. If you have a form for Family Service Plans, please attach this to your proposal.

In addition, describe the overall approach and procedures that would be used as it relates to decision making, service management, and collaboration with CFSD; e.g. how clients are processed within your program. Who makes the decisions? How you would handle overload when volume of clients can't be served? How you would utilize complementary funding sources? How you would insure timeliness of services to be provided. This should include a description of your process of case assignment, case management, and prioritization of services to be provided and the intensity and frequency of these services. Describe the collaboration with CFSD and formal arrangements you have or will arrange with the local CFSD to staff cases and communicate monthly information on caseloads. Describe your current and proposed procedures to insure a continuity of effective service delivery. How do you handle breakdowns in service? Describe how and when clients are discharged from your program and who makes the decisions. Explain how this is in keeping with Child and Family Services Policy and how this information is conveyed to Child and Family Services and documented in the files. Describe any postmaintenance of the case, if applicable

If you use consultants or volunteers under this contract, a copy of the subcontract between the organization or individual and the contractor must be submitted to DPHHS prior to the signing of the state contract.

## The Overall Program

For each major type of In-Home service activity proposed, please state approximately how many persons and families you anticipate serving in a contract year. Also, indicate the 'average' number of children and families to be served at any one time. In addition, describe how many hours you would propose for a family intervention (60-100 hours) and why, how these services will be broken down and duration of an average intervention... For each type of In-Home service activity to be provided, please project the average period of time you anticipate for provision of the service. CFSD realizes that this varies depending upon family needs; however, please estimate an 'average' time frame. Also, for the provision of each type of In-Home Service, please indicate the job title and education level of the person providing that service.

## **Program Qualities**

How do you insure that your program is culturally sensitive? What steps have you taken to insure that your program is family based and built upon family strengths? How do you insure that your program is comprehensive, yet flexible? Describe your program's accessibility 24 hours a day to clients? If not currently accessible 24 hours a day, what changes could you make to insure that it is? Please describe the intensity and frequency of collaboration your agency has with others in the community(s). particularly with CFSD local office.

### Location

Geographically, where will the proposed project be located? If there is more than one site/office, provide estimates of the number and average number of direct-service to clients served at each site at any one time over a year. Also, provide, the specific array of services to be delivered at each site

### Budget.

Four budget sheets are required for this proposal. The Project Budget C-1 contains the proposed project budget containing major categories in which you set forth a specific dollar amount. Correspondingly, C-2 is the Budget Narrative describing and justifying the use of dollar amounts contained in C-1. The vast majority of the contract's budget should be used to fund direct services. Proposals with low administrative costs will be reviewed more favorably than proposals with higher administrative costs. Proposals with administrative costs exceeding 20-25% may be disqualified. Also, clearly identify in your budget narrative a breakdown that delineates the direct service costs and administrative costs. Also describe how your agency will ensure that the budget is managed in such a way as to ensure that funds will be maximized within the full contract period. This should include a description of budget management that will guard against over spending and under spending. List positions on budget sheets by FTE or portions of FTEs. Payments made under this contract for travel; such as per diem, lodging and/or mileage must not exceed those established by the state of Montana for its employees.

Providers must also complete C-3, which contains an agency's Organizational Budget. This is the budget that includes estimated total expenditures for the organization or business. This should include the amount you are requesting through this proposal. Providers must also complete C-4, Other Sources of Funding, Include within C-4 any amount you are request through this proposal.

All In-Home Service now utilizes a fee-for-service billing method. The project budget is to represent expenses incorporated into the hourly billing rate. The hourly rate may fall within the rage of \$30-45 per hour. The hourly rate and its appropriateness to proposed services will be an important consideration in rating contracts. Please state the rate and its justification on C-2, Budget Narrative.

### **Required Attachments**

Federal regulations require that applicants sign and return the following assurances with their proposal:

- Certification Regarding Environmental Tobacco Smoke
- Certification of Compliance with Certain Requirements for Department of Public Health and Human Services Contractors (June 1999)
- Assurances Non-Construction Programs, Federal Standard Form 424B

Copies of the above stated Assurances/Certifications and other required attachments are listed in the Appendix

### **SELECTION PROCESS**

### **Overview**

RFP responses must provide all of the required information in writing. The RFP is posted on the Department of Public Health and Human Services website. Notice that this RFP is posted upon the website will be sent to all entities indicating an interest in submitting a proposal, as will as present contractors and recent contractors. The Department of Public Health and Human Services, Child and Family Services Division, reserves the right to:

- Award contracts to proposals that are based upon considerations other than the lowest bid.
- Reject any or all proposals received
- Communicate with prospective bidders and answer questions. However, only questions and responses in writing can be legally challenged.

### **Questions and Answers and Clarifications**

The Division may require a potential contractor to clarify information in a proposal. before awarding a contract. However potential contractors or bidders may not make unilateral changes in proposals except to correct obvious mistakes or inconsistencies.

Prospective contractors may be invited to negotiate. Depending on the outcome of the negotiations, prospective contractors may amend their proposals based upon the negotiations. All other prospective contractors will be notified of their status when contracts are awarded.

All proposals will be available for public inspection after awards for this RFP have been made. The state office of the Department of Public Health and Human Ser8ices, Child and Family Services Division is the designated site for any public inspections.

Oral questions will be answered orally. Although such conversations may be helpful they are not official nor something a prospective contractor can rely upon.

All written questions must be received by September 5, 2007. Written responses will be issued by September 8, 2007 and the final proposal is due by September 21, 2007.

All written questions addressed to Marcia Dias and received by September 5, 2007 will receive an official response. Official responses will be posted upon the DPHHS website. The names of those submitting questions will not be disclosed.

### The Selection Committee

A Selection Committee will conduct the necessary steps to determine the contract awards. When the proposals first arrive they will be divided into "responsive" and "non-responsive" categories. Those, which are classified as "non-responsive," will be eliminated from further consideration.

However, proposals may be found as non-responsive at any point during the evaluation process if any required information is lacking, the proposal is not within the required scope of the RF, or the submitted price is excessive or inadequate in keeping with the RFP.

Members of the Evaluation Committee will read and score each section of the proposal in accordance with their perception of how this information matches the official criteria requested in the RFP

Each member of the Selection Committee will be asked to sign a "No Conflict of Interest" form.

# **The Evaluation Process**

The Evaluation Criteria. The Evaluation Committee will review and evaluate the offers according to the following criteria.

(1) Cover Letter, Title Page, Table, Overview	3
(2) Offertory's Qualifications	30
(.4) The Service Process and Project Design	50
(6) Budget	15
(7)Assurances	2

### **CONTRACT AWARD**

The Evaluation Committee reviews and rates each proposal. Upon meeting and reaching concurrence it makes funding recommendations to the Department. The Department then selects the most suitable proposals to fund. The Child and Family Regional Administrator may then invite successful applicants to negotiate the final contracts. Once a contract is successfully negotiated or if its approval requires no negotiation, the Department sends out a Notice of Award.

Unsuccessful bidders will also be notified at this time as to the status of their proposals.

The Department will write and approve a formal contract. After Departmental approval, the contract will be sent, by certified mail, to successful applicants to sign and return to the Department. Signed contracts should be returned within ten (10) working days.

A prospective contractor invited to negotiate shall not commence work for incur costs as if he were a contractor until the negotiation is complete and there has been an official Notice of Award. Any expenses incurred prior to the Notice of Award are entirely at the bidder's expense.

Every successful contractor must provide the Department with proof of Workers' Compensation Insurance or Independent Contractors Exemption covering the contractor while performing work for the State of Montana. (Ref. 39-71-401 and 39-71-405 MCA). Proof of insurance/exemption must be valid for the complete period of contract time. This must be received by the Department within ten (10) working days of the issuance of a Notice of Award. The number for the State Compensation Mutual Insurance Fund is (406) 444-6500. An exemption can be obtained through the Department of Labor, Employment Relations Division (406) 444-7734.

### **Expectations**

The Department estimates that it will enter into a contract with a successful applicant by **October 7**, **2007.** 

Successful contractors will be expected to keep the Department's contract liaison informed about contract performance issues and any problems encountered during the contract year. Contractors are also expected to seek clarification if there is any aspect of the contract or program expectations that is not understood. The Department's liaison will communicate, meet, advise, and provide assistance to the program directors as needed.

### Reporting Requirements

All contractors must provide monthly service logs to the liaison. These monthly logs must be on the template provided by the Department. Failure to submit these monthly service logs may result in a delay of payment to the contractor until the log is submitted. Logs should be submitted no later than 25 days following the particular month of service

Also, the contractor shall bill the Department monthly for reimbursement of expenditures. This billing must be on Contractor Financial Reports (DPHHS-AD-035) or another designated Departmental form. Contractors will use a fee-for-service billing method; contractors will report the number of billable hours times the approved fee-for-service rate to calculate monthly expenditures on the Departmental form. the following month. For In-Home Services the acceptable hourly rate for billable hours is \$30-\$45, which is to be determined by contractor, based upon annual expenditures. If an organization provides all services with a LCPC or licensed master's level professional, then the proposed rate may be \$55

per hour for billable hours. This billing should occur on the last day of the month or as soon thereafter as possible

Financial Reports should be submitted no later than 25 days following the particular month being billed for. A final statement of costs must be submitted within 30 days of the expiration or termination of the contract.

### Subcontracting

Any subcontracting must be approved by the Department. This approval is to be obtained prior to putting the Final contract in place between the In-Home provider and CFSD. This subcontract must be signed by contractor and subcontractor and a copy sent to the Department, and it becomes incorporated into the contract.

The contractor must adhere to all contract terms and agree to comply with all state and federal laws and regulations, including confidentiality and HIPPA requirements, audit requirements, record retention and publications.

### **APPENDIX**

As mentioned earlier the following items are to be attached as they are considered part of your proposal and will become part of any ensuing contract.

A narrative proposal, addressing items listed on pages 9-12.

BUDGET FORMS C-1, C-2, C-3, C-4

IRS 990 FORMS, IN BIDDER IS A NON-PROFIT ORGANIZATION

IRS 501-C-(3), IF BIDDER IS A NON-PROFIT ORGANIZATION

ASSURANCES

JOB DESCRIPTIONS

RESUMES

PROOF OF WORKMAN'S' COMPENSATION INSURANCE

PROOF OF OTHER INSURANCE: GENERAL LIABILITY AND PROFESSIONAL

**ORGANIZATIONAL CHART** 

THE FOLLOWING TWO DOCUMENTS ARE ATTACHED TO THIS RFP AND WILL BE INCORPORATED INTO IN-HOME SERVICE CONTRACTS, AS ALL PROGRAMS MUST OPERATE IN COMPLIANCE WITH CFSD POLICY AND WITHIN THE OFFICAL SCOPE OF THE PROGRAM.

SCOPE, AS IT APPEARS IN THE RFP. DO NOT CUSTOMIZE CHILD AND FAMILY SERVICES DIVISION POLICY, SECTION 205-1

und

The requirements of the Adoption and Safe Families Act of 1997 compels states to make concerted efforts to prevent removal of children from their homes and to reunify families in which efforts to prevent removal failed and the children were placed in out-of-home care. The Act requires that federal funding previously allocated for either family support or family preservation services under the (former) Family Preservation and Support Services grant must be dedicated to four service categories:

- Community-based family support services;
- Family Preservation services;
- Time-limited family reunification services; and
- Adoption promotion and support services.

The State's focus for in-home services is to divert children from entering the foster care system and reduce the duration of stay in foster care. In-home services are services delivered to a family to ameliorate conditions that may lead to a removal of a child from his or her home due to abuse or neglect. These services are also used to improve the safety concerns in a family whose children have been removed so that the children may safely be reunited.

The first three categories of services (listed above) are covered in this policy and are defined as:

Family support services. Community-based services to promote the safety and well-being of children and families designed to increase the strength and stability of families (including adoptive, foster, and extended families), to increase parents' confidence and competence in their parenting ability, to afford children a safe, stable and supportive family environment, and otherwise to enhance child development.

**Family preservation services**. Services for children and families designed to help families (including adoptive and extended families) at risk or in crisis, including:

 Service programs designed to help children: Where safe and appropriate, return to families from which they have been removed; or be placed for adoption, with a legal guardian, or, if adoption or legal guardianship is determined not to be safe and

appropriate for the child, in some other planned, permanent living arrangement;

- Preplacement preventative services programs, such as intensive family preservation programs, designed to help children at risk of foster care placement remain safely with their families;
- Service programs designed to provide follow-up care to families to whom a child has been returned after a foster care placement;
- Respite care of children to provide temporary relief for parents and other care givers (including foster parents); and
- Services designed to improve parenting skills (by reinforcing parents' confidence in their strengths, and helping them to identify where improvement is needed and to obtain assistance in improving those skills) with respect to matters such as child development, family budgeting, coping with stress, health, and nutrition.

Time-limited family reunification services. Services and activities that are provided to a child (and the family) who has been removed from his/her home and placed in foster care and to parents or primary care giver of such a child, to facilitate the reunification of the child safely and appropriately within a timely fashion, but only during the 15 month period that begins on the date the child is considered to have entered foster care. These services may include the following:

- Individual, group, and family counseling;
- Inpatient, residential, or outpatient substance abuse
- Treatment services;
- Mental health services:
- Assistance to address domestic violence.
- Services designed to provide temporary child care and

therapeutic services for families, including crisis nurseries;

- Family Group Decision Making;
- Supervised visitation;
- Transportation to and from any of the above services.

#### Goals

When services are available and there is no imminent risk of harm to the child(ren) by remaining in the home, the goals of the agency are to:

- Increase the capacities of at-risk families to nurture their children in healthy environments by providing parents with the knowledge, skills and support to do so; and
- To decrease the length of time the child remains in foster care.

**NOTE**: In-home services may be provided by division personnel ('in-house') or through contracted providers, as available in each region. When in-home services are provided in-house, social workers refer families for services following the procedures established within their particular regions. This policy section applies directly to contracted providers.

# Eligibility Criteria for In-Home Services

The following children are eligible for in-home services:

- Child(ren) are at risk of child abuse and neglect but the social worker has not opened a Child Protective Services (CPS) case;
- Child(ren) are at risk of child abuse and neglect and have recently been referred to the Department but the child(ren) has not been removed from the parental home and the social worker has opened a CPS case; or
- Child(ren) are placed in out-of-home care by the Department and the parent(s) are participating in a treatment plan for reunification.

### The family must:

- Display the ability to provide minimally acceptable safe child care:
- Be willing to accept the service offered; and
- Live in a home which does not pose an immediate threat to the health or safety of the child or to the service provider.

### Social Worker Action Referral for Services

If the social worker determines, through the receipt of a report of abuse or neglect, that a child has been or is in danger of abuse or neglect, the social worker may refer the family for in home services if the child(ren) meet one of the criteria for eligibility.

Social workers must submit a completed CFSD referral form (Form 050) to in-home services\_programs when making referrals. Social workers must also keep a copy of this form in CFSD case file. This Referral Form 050 can be downloaded from Outlook, Public Folders, All Public Folders, HHS, Forms, CFSD Forms, Form 050, or received as a template file from the Division, to be installed on individual computers. See copy at the end of this section. The social worker may refer the family for family support services, family preservation services, or time-limited reunification services. The referring social worker will provide the home visitor with the following information regarding the family:

- a) Department's treatment plan (as applicable for open CPS cases);
- The basis for CPS involvement and the status of Court action;
- c) Expectations of types of services to be provided;
- d) The purpose for the services to be provided;
- e) Frequency and intensity of requested services; e.g. hours per week;
- f) The anticipated length of services to be provided; and

When the family has an open CPS case at a minimum the In-Home contractor must provide a written report monthly to the social worker. If the contractor detects a new safety issue this will be reported to the worker.

- 1. Parenting Skill Building including but not limited to:
  - Teaching appropriate parenting skills such as alternatives to corporal punishment, which encourages a "no hit" policy;
  - Age-appropriate expectations;
  - Parent as role model;
  - Choice and consequences; and
  - Display of greater parent/child affection and trust.

### 2. Family Behavior Skill Building

- Anger management techniques;
- Teaching ways to prevent child abuse and neglect and reducing family conflict;
- Teaching appropriate communication skills; and
- Teaching assertiveness skills.

### 3. Organizing Skills

- Teaching budgeting skills;
- Teaching housekeeping, homemaking and other organizational skills needed to provide a positive environment to include modeling positive behavior such as assisting the family to clean the home:
- Referring and linking family with follow-up services when necessary;
- Transporting or arranging for transportation for families; and
- Helping families develop skills to maintain

ongoing progress.

### Medical and Dental Referrals

Workers shall indicate whether or not there is a need for: (1) dental care, (2) immunizations, (3) physical check-ups, (4) mental health services, and (5) any other condition warranting medical attention. The worker shall indicate health needs on Form 050. If the worker is not able to determine the status of these needs at the time of referral to In-Home services, then the worker must indicate on Form 050 that the inhome visitor should make this determination and if there is a need, to then follow-through with the appropriate referrals.

### 5. Linking other Resources:

 Referring and linking families with needed concrete services such as aiding the family to obtain needed furniture, beds, etc.

### 6. Parental Support Services:

- Community Parent Education Classes
- Parent Support Group
- Respite Care
- Day Care

### 7. Reunification Activities:

- Supervised Visitation (contractors to use forms CFS-209 and CFS-208)
- Family Group Decision Making for Reunification Purposes (forms CFS-159 and CFS-160)
- Any of the above listed services used for the purpose of reunifying families.

In-home services are primarily provided to the child and parent(s) in the family home. Services may include, but are

not limited to supervised visitation between parent(s) and a child who is in foster care. In-home services also include time-limited reunification. The frequency and intensity of services furnished must be identified in the DPHHS referral form (CFS 050) and further outlined in the provider's Family Service Plan (distinguishable from the Department's Treatment Plan).

Services can include attendance and participation of the home visitor in Family Group Decision Making meetings scheduled on behalf of the family being served by the contractor.

# Family Group Decision Making

If the case is open and if the family is not already participating in Family Group Decision Making, the contractor must offer the family a chance to participate in this service. The contractor must use the FGDM Offer of Meeting Form (CFS 159), on which the family indicates that it either accepts or rejects a chance to participate in Family Group Decision Making. If the family indicates acceptance of the chance to participate in FGDM, then the family must sign the FGDM Referral Form (CFS 160). The contractor must then send the Referral Form on to the regional FGDM coordinator.

Regardless of whether the contractor is providing preventive or reunification services, the contractor must offer the family the chance to participate in FGDM, if the case is open and the family is not already participating in FGDM. If the contractor is actively providing In-Home services to a family, that contractor should be involved in FGDM meetings, regardless of whether or not the contractor has initiated that activity.

### **Educational Classes**

Families may not be referred for educational classes only. Services must include a combination of home visiting services and classes or home visiting services only.

### Community Services

Other services provided by the community, which might be used to maintain the child in his/her home while the social worker and the parents work to improve the home situation, may include the following:

- Mental Health Counseling
- Alcohol and Drug counseling

- Public Health Nurse
- Social Work Counseling
- School Counselors
- Medical Services
- Planned Parenthood
- Services for Special Needs Children
- Day Care
- Respite Care
- Parenting Classes
- Parents Anonymous
- Other Support Groups
- Big Brothers and Big Sisters
- Financial Assistance Services
- Vocational Rehabilitation Services
- Housing/Emergency Housing
- Utility Assistance
- Domestic Violence Shelters
- Churches
- Relative Support, and
- Information, Referral and Follow-up

### In-Home Services Provider Action Acceptance/Denial

The in-home services provider notifies the referring social worker of the acceptance or denial of the referral.

### **Initial Contact**

Once the family is accepted for in-home services, the provider must attempt to contact the family "face-to-face" within 72 hours from the time the referral was received. If unable to make "face-to-face" contact, the referring social worker will be notified immediately. If the contractor must put the family on a waiting list, this is conveyed to the social worker, along with the estimated date to begin service. The contractor must record this information on the 050 in the contractor's section at the end of the form.

In-Home contractors must be immediately accessible for emergencies 24-hours per day.

### Family Service Plan

The in-home services provider develops a Family Service Plan within 30 days after services begin. The Family Service Plan is developed by the contractor, involving appropriate family members and the social worker (when the family has an open CPS case); dated signatures are also required on

the plan. Family Service Plans are to be reviewed/revised at least quarterly, involving the social worker, the family and the in-home services provider.

All revisions to the Family Service Plan are to include dated signatures of all participants, as well as the in-home services program supervisor. The in-home services provider furnishes the social worker with a copy of the initial Family Service Plan and any revisions thereof within two (2) weeks of obtaining all signatures.

### On-going Services

In-Home Services are most effective when provision is intense following referral, e.g. 60-100 hours in the first three months of a family crisis. Social Workers should keep this in mind when requesting frequency and intensity of services on referral.

In-Home Services are to be provided to a family primarily within the home; the exception is when the contractor is providing Supervised Visitation and FGDM. CFSD expects that 75% of the contractor's time will be spent with or involving the family.

### **Progress Updates**

The in-home services home visitor and the in-home services supervisor will regularly review individual case progress on each family being served and, if it is an open case, consult with the social worker on possible revisions to the Family Services Plan.

The CFSD referral form (Form CFS 050) allows for social workers to determine the frequency, intensity, and content of the updates on each family's progress and response to inhome services.

The social worker and In-Home contractor must maintain a close working relationship. In addition to home visitors submitting written family reports to referring social workers monthly, supervisors of home visitors must also update the local CFSD supervisors in their respective service areas regarding the current caseloads and available slots. It is recommended that there be a joint meeting monthly with social workers and In-Home Service contractors to staff cases.

#### Termination of

The in-home services contractor should provide the following

### Services

#### services:

- Notify the social worker in writing, two (2) weeks prior to the termination of services as possible (when the family has an open CPS case). The social worker may request the in-home services provider to extend the service period including an explanation (e.g., demonstrate reasonable efforts made for the court);
- Case closure should occur when the level of face-to-face service is less than once every six (6) weeks and/or when a family has relocated out of the contract service area, unless documented extenuating services warrant otherwise. Before a provider closes an open case the provider must notify the referring social worker. If the provider is closing a case which is not open, because the family cannot be engaged, then the provider is to notify the social worker.
- The provider is encouraged to conduct a termination interview, and the social worker may be invited to the closure interview (especially if the family has an open CPS case); and
- A copy of a termination summary must be submitted to the assigned social worker within 30 days of closure (when the family has an open CPS case).

Each termination summary shall include: An assessment of the family's problems and service needs, including strengths and weaknesses; a listing of the services provided; a summary of the family's progress in addressing the objectives in the family service plan, including the family's reaction to services; and a listing of any follow-up recommendations for additional service needs the family may have.

### Child Abuse and Neglect Reporting

An employee that contracts with the Department to provide direct services to children shall promptly report knowing or having reasonable cause to suspect that a child is abused or neglected to the Department. The in-home services provider must report suspected or known child abuse or neglect to the child abuse and neglect hotline (Central Intake). In-home services providers reporting known or suspected child abuse and neglect to the social worker does not substitute for the reporting requirements to Central Intake. Inform In-Home

Service providers who report abuse/neglect situations to you (the social worker), that they must report this to Central Intake.

### References

Mont. Code Ann. § 41-3-101 Mont. Code Ann. § 41-3-201

Mont. Code Ann. § 41-7-102 et seq.

Mont. Code Ann. § 41-3-30

Rev. 10/03 Rev. 10/04 Rev. 10/05 Rev. 10/06

1. DPHHS REFERRAL DPHHS	S UPDATE/CHANGE
Social Worker	Referral Date
Phone, Office Phone, Cell/ Oth	ler
CPS CASE STATUS	
Family Case (CPS) Status:	If Open, Court Action:
Not Opened	None
Opened	Pending
Closed	Current Court Action
2. CHILD'S CURRENT LIVING ARRANGE	MENT
Birth Parent or Legal Guardian Yes No	
Non-Related Foster Parent: Yes No Kinship Foster Parent Yes No	
Y BASIS FOR CPS INVOLVEMENT	
Support Child with Birth Family/Legal Guardians	S
Without effective preventive services, Foster Ca	re is the Planned Arrangement for the Child
Maintain Child in Foster or Kin-Foster Home	
Reunify Child with Family	
- <del></del>	Signature of CFSD Social Worker
be safety precautions	
3. RECIPIENT OF IN HOME SERVICES	
Recipient Role Parent Relative Foster	Spouse/Partner of Recipient of In Home Services
<u>Parent</u>	
=	First Last MI
First Last MI	DOBAGESSN
DOB AGE SSN	Education/ Highapt Crade Completed
DOBAGE	Education/ Highest Grade Completed
Education/ Highest Grade Completed	Race/Ethnicity ☐Am Indian ☐African Am.
Dogo/Ethnicity	☐Asian ☐ Hispanic ☐ White ☐ Other
Race/Ethnicity ☐Am Indian ☐African Am. ☐Asian ☐ Hispanic	☐ White ☐Other
☐ White ☐ Other	Address
Address	Street City
City	Zip
Mailing Address, if different	Mailing Address, if different
	Employment_
Employment	Employment
	Phone(Wk) (Other)
Phone (Wk) (Other)	
Household Structure	
☐ Single Parent – no partner in home	
<ul><li>☐ Married and living with spouse</li><li>☐ Living w/Partner</li></ul>	
Living w/r artifer	

Substitute Care Giver ☐Foster Parent ☐Kin ☐Guardianship			Spou	<u>ise</u>						
First	Last		First		Last					
MI	Last		Addr	Address						
Address				Street		City				
AddressStreet City						- ,				
Mailing Address, if diff	erent		Maili	Mailing Address, if different						
Employment										
			_   Emp	Employment						
Phone(Wk)	(Other)	=	Phor	ne(Wk)	(Other) _					
. CHILDREN (If	more than six child	dren ren	ort on se	narate she	ets)					
(Last, First, MI)	SSN #			DOB	Relationship to	Child in Home				
	33N #		Am Ind.		Primary					
		M/F	Asian Black		Caregiver					
			White							
			Other			<del>  _</del>	1./	□ NI=		
						╁╞	Yes Yes	☐ No ☐ No		
						╁╞	Yes	☐ No		
						╁╞	Yes	□ No		
						╁╞	Yes	□ No		
						Ħ	Yes	□No		
CHECK THE REAL		RVICES	S AND I	LIST EXI	PECT ATIONS:					
Total Visitation Hours	(a range of hours	may be	listed)							
hours per we										
hours per mo										
Participants in Visitatio Anyone who cannot pa		ion?								
ocation Specifics	articipate iii visitat									
ransportation Details	(e.g., who will tran	nsport, d	eparture/	arrival site	es)					
Special Considerations		•			,					
B. Participation in Family	y Decision Making									
Date of Most Recent N	leeting									
Date of Next Schedule										
Treatment Agreement:			to	_)						
Treatment Agreement:		Forthco		M Caard	inator bas dooms d	E0	DM			
Comments/Considerat	ions: ( e.g. Family	nas refu	isea FGL	ıvı, Coordi	mator has deemed	гG	ואוט			
inappropriate)										

C. Skill Building and Other Needs	
Occupational Skill Building (GED, Vo-Tech, job readiness classes)	
Parenting Skill Building (appropriate discipline, role modeling, age appropriate expectations, bonding)	
Family Behavior Skill Building (anger management, preventing conflict, communication, assertiveness)	
Organizational Skills (budgeting, shopping, meal preparation, housekeeping, maintaining home, problem	n solving)
Transportation (arranging for or transporting, for reunification, planned visitations, family activities, supposervices)	ort group,
Medical Needs	
Immunizations	
Physical Checkups	
Substance Abuse Services  Yes  No No Not known, Please Determine	
Other Medical Conditions	
Dental Check-Up Yes No No Not known, Please Determine	
☐ Linking Other Resources (housing, public assistance, food share or hard services such as beds, other	furniture)
□Parental Support Services	
Community Parent Education Classes	
☐ Parent Support Group	
Respite Care	
☐Other, please describe	

D. Home Visiting Home Visiting Total Hours (face-to-face), please fill in one: hours per week OR \_\_\_\_ hours biweekly OR \_\_\_\_\_ hours per month **Estimated Length of In-Home Services:** Start Date End Date In-Home Providers will now provide a Written Updates should include: written monthly report to the Referring Social Worker. This is the minimum reporting requirement. More frequent reporting is Note if there is a need to report to SW more often than acceptable, Social Workers can indicate monthly. the need for more frequent reporting. 7. SERVICES CURRENTLY BEING PROVIDED Family Group Decision Making Mental Health Case Management Therapy **CD Treatment** Other Medical Services Dental Services \_\_\_\_\_ Support Group \_\_\_\_ **Foster Care** Public Housing Half-way House Respite Day Care \_\_\_\_\_ Public Assistance Job Training DD Service \_\_\_\_\_ Other Agency Person Taking Referral Date Received by: Phone Fax In-Person letter Services Requested: Accepted Denied If Denied, State the Reason: No Open Slots Unable to Provide Requested Services Other, please describe. If there are no open slots, please indicate expected service date)

PLEASE ATTACH THE NEW INVESTIGATIVE SAFETY ASSESSEMENT FOR THIS FAMILY

Rev. 10/03 Rev. 10/04 Rev. 10/05 Rev. 10/06

Notice Given by \_ ☐Written ☐ Verbal

Notice to Referring Worker of Acceptance/Denial:

### SCOPE OF WORK

### 2.0 General

The requirements of the Adoption and Safe Families Act compels states to make concerted efforts to prevent removal of children from their homes and to reunify families in which efforts to prevent removal failed and the children were placed in out of home care. The State's focus of In-Home Service is to divert children from entering the foster care system and reduce the duration of stay in foster care, as well as to reunify families. In-Home Services are services delivered to a family to ameliorate conditions that may lead to a removal of a child from his home due to abuse or neglect. In-Home Services are also used to improve the safety concerns in a family whose children have already been removed so that the children may safely be reunited.

Note that time-limited reunification services are included in the category of In-Home Services.

The State is requesting proposals to fund In-Home Services as identified in the RFP. The successful offeror will be a partner and a resource to the State in meeting "reasonable efforts" requirements, as defined by the Federal Government.

### 2.1 The Guiding Principles for the Project Are:

- 2.1.1 The basic relationship between programs and families must be one of respect. A project's first priority is to establish and maintain this relationship as the vehicle through which growth and change can occur.
- 2.1.2 Families have strengths and can change. Many maltreating families have the capacity to change their abusive/neglectful behavior, given sufficient help and resources to do so.
- 2.1.3 Growing up in a family is optimal for children, as long as children's safety can be assured. Maintaining the family as a unit preserves the bonding and loving relationship with parents and siblings. It allows the children to grow and develop within the culture and environment most familiar to them.
- 2.1.4 The most successful treatment plans are family-driven, responding to the family stated needs rather than a categorical definition of services.
- 2.1.5 Programs are community-based, culturally and socially relevant to families and often a bridge between families and other services outside the scope of the program.
- 2.1.6 Home visiting, parenting education, other parent skill building information about human development, and supervised visitation are essential elements of In-Home Services programs. Supervised visitation is crucial for reunification purposes; the longer a parent and child are physically separated, the less likely it is that reunification will occur.

- 2.1.7 Expectations for family support and education programs must be kept modest and inkeeping with the project directive. These supportive services are not a substitute for essential services, i.e. affordable housing, health care, childcare, employment, etc.
- 2.1.8 Most parents do not intend to harm their children. Abuse and neglect are the result of a combination of factors: psychological, social, situational, and societal. All families may need assistance at some point to manage difficult stresses, to learn more appropriate parenting skills, and to be supported in their parenting roles.
- 2.1.9 Child maltreatment is a community problem; no single agency, individual, or discipline has the necessary knowledge, skills, resources, or societal mandate to provide the assistance needed by abused and neglected children and their families. The success of In-Home services depends on the community=s ability to work in a multi-disciplinary, collaborative approach.
- 2.1.10 Local program staff need time, skill, and support to build relationships with families and children, emphasizing trust, respect, and empowerment. For programs to attend adequately to the varied support and guidance needs of families, it is critical that staff has solid organizational and financial foundations and technical assistance

### 2.2 The Goals of the In-Home Services Are:

- 2.2.1 To decrease the incidence of child abuse and neglect of referred families.
- 2.2.2 To increase the capacities of at-risk families to nurture their children in healthy environments by providing parents with the knowledge, skills, and support to do so.
- 2.2.3 To decrease the length of time the child remains in foster care.

### 2.3 The Anticipated Outcomes Are:

- 2.3.1 Reasonable efforts will be successful to prevent removal from their family of origin.
- 2.3.2 Reasonable efforts will be successful to reunify a child from the family from which it was removed.
- 2.3.3 Improved outcomes for permanency.
- 2.3.4 Enhanced parent child bonding, emotional ties, and communication.
- 2.3.5 Increased parental skills in coping with stresses of infant and childcare.
- 2.3.6 Improved family self-sufficiency.

### 2.4 Client Base: Populations to be Served Are:

2.4.1 Families at risk of child abuse and neglect referred by DPHHS but who are not open Child Protective Services (CPS) cases.

- 2.4.2 Families at risk of child abuse and neglect who have recently been referred to DPHHS whose children have not been removed but have an open CPS case.
- 2.4.3 Families who have had their children removed by DPHHS and are working a treatment plan for reunification.
- 2.4.4 The number of clients served will be limited by the size of the budget and the intensity of the services required to meet the needs of the client.
- 2.4.5 In-Home services will be provided upon CPS referral only.

#### 2.5 Services to be Provided:

- 2.5.1 Initially, services will be provided based on the DPHHS referral form, from the referring CPS worker. The DPHHS referral form (CFSD 050) provides the home visitor with the identified case plan, the level of CPS involvement, expectations of services to be provided, the reason the service must be provided, frequency of services, the period of time this service is to be provided and the expected minimal contact (face to face, written, phone) with the CPS referring worker. Contracted In-Home Service providers must maintain regular contact with assigned social worker, as set out in DPHHS Child and Family Services Policy, Section 205-1. Over time services identified in the Family Service Plan may change as this Plan is modified.
- 2.5.2 No CPS referral can be served with educational classes only. Services must include a combination of home visiting services and other service(s), such as classes, or home visiting services only.
- 2.5.3 The In-Home Services worker will attempt to contact the family face-to-face within 72 hours from the time of the referral to the program. If unable to make face-to-face contact, the assigned social worker will be notified immediately. This will be documented.
- 2.5.4 In-Home Services shall be family-centered addressing the safety needs of the child within the context of the family and recognizing that the adults in the family are the primary decision-makers.
- 2.5.5 In-Home Services shall focus on child safety and well being.
- 2.5.6 It is recommended that services include supervised visitation between parent(s) and a child who is in foster care. The level of this service will be defined in the CFSD treatment plan. This is a crucial program component, because it fosters the reunification of families.
- 2.5.7 In-Home Services worker and supervisor will regularly review individual case progress on each family currently being served and consult with the assigned social worker on possible revisions to the In-Home Family Service Plan. The In-Home Services supervisor shall review and sign off on all family plans, progress reports, and termination summaries.

As stated in policy, In-Home Service providers must review Family Service Plans with the assigned social worker at least quarterly.

- 2.5.8 Services will include attendance and participation of the In-Home visitor in Family Group Decision Making meetings scheduled on behalf of the family being served by the contractor.
- 2.5.9 There is a large array of In-Home services that may be provided. These services usually fall within one of the following categories.
  - 2.5.10. 1 <u>Information dissemination and teaching</u> child rearing skills made available through home visitation shall include but is not limited to:
    - a) Teaching appropriate parenting skills such as alternatives to corporal punishment, which encourages a "no hit" policy;
    - b) Age-appropriate expectations;
    - c) Parent as role model;
    - d) Choice and consequences; and
    - e) Display of greater parent/child affection and trust.
      - 2 Family and individual communication skill building which shall address:
    - a) Anger management techniques;
    - b) Teaching ways to prevent child abuse and neglect and reducing family conflict;
    - c) Teaching appropriate communication skills; and
    - d) Teaching assertiveness skills.
      - <u>.3 Introducing positive family behaviors</u>, including but not limited to:
    - a) Teaching budgeting skills;
    - b) Teaching housekeeping, homemaking and other organizational skills needed to provide a positive environment to include modeling positive behavior such as assisting the family to clean the home

,

- .4 <u>Identification and referral of family to need resources; such as:</u>
- a) Aiding the family in meeting medical needs such as arranging for substance abuse treatment for family members, immunizations for children, and making follow-up support resources available
- b) Referring and linking families with needed concrete services such as Energy Share, Housing Authority.; and referring and linking family with follow-up services when necessary;
- .5 Hard Services:
  - a) Transporting or arranging for transportation for families; and
  - b) Providing needed furniture, such as beds, etc.;

As with any human service program, documentation and accountability are required. For each eligible client served, the contractor shall maintain client case records consisting of, but not limited to:

- a) Family and child demographics;
- b) The Referral Form CFSD 050, as devised by CFSD
- c) Log or family contact form as devised by the Department;
- d) The CRSD treatment plan, if applicable
- e) The Family Plan as developed by In-Home staff, family, and social worker
- f) Signed statements of confidentiality and any other necessary releases;
- g) Evaluation reports/surveys/etc. as required by DPHHS;
- h) Termination summary;
- i) If an applicant for services is denied, the reason for denial must be recorded;
- i) Any forthcoming CPSD forms
- k) Home visitor case notes detailing all contacts with or in reference to a family.

### 2.5.12 Case Closure

Each closed case must contain all of the above information; plus, a copy of the termination summary referred to in item h), above, must be submitted to the assigned social worker within 30 days of case closure; and

#### 2.6 Contractor Skills and Abilities

- 2.6.1 Staff must be willing to work as team members with individuals suffering from mental health, chemical dependency issues, and physical and/or mental disabilities
- 2.6.2 Staff should have training or experience in the areas of: child development, parenting skills, child abuse and neglect and correlated problems, low income and cultural issues, as well as an understanding of out-of-home placement issues.
- 2.6.3 Staff must have the ability and motivation to be part of a treatment team, and to monitor Family Service Plan goals that are tied to court ordered and non-court ordered Treatment plans and to parental agreements. Staff must document progress (or lack thereof) on Family based Service plans in measurable terms suggesting changes to facilitate the successful completion of each goal. Staff must also have the skills and motivation to submit required reports to DPHHS in a timely manner.
- 2.6.4 Staff shall demonstrate personal characteristics such as being non-judgmental, compassionate, and have the ability to establish and maintain trusting relationship with clients, referring agencies, DPHHS personnel, and other community representatives, as well as have relevant life experiences and skills to achieve the outcomes outlined.
  - 2.6.5 Staff will act as a mentor, teacher, and role model to the family on concerns of child safety and well being. This includes discipline, household management and connecting to other agencies for resources.
- 2.6.6 Staff will provide services based on a Family Services Plan tied to either a voluntary or a court ordered Treatment plan. Family Service Plans shall be tailored to the needs of the individual family. Problem solving to address service needs is foremost. Home visitors will provide progress report to the referring social worker on open CPS cases on a predetermined basis; that is, as specified on the Referral Form, 050

- 2.6.7 DPHHS, the contractor and the family shall mutually identify the intensity of services to be provided. Working together they will develop a Family Service Plan. However, it is anticipated that home visiting services will be provided on a 'face-to-face' basis at least once a week to 75% of the families being served and at least once a month for the remaining 25%.
- 2.6.8 The offeror will have criteria and procedures in place to guide supervisors, staff and families in recognizing when it is appropriate to discontinue In-Home Services.